

April 30, 2025

VIA ELECTRONIC SUBMISSION

Vanessa Countryman
Secretary
Securities and Exchange Commission
100 F Street, NE
Washington, DC 20549-1090

Re: Fifty-Third Amendment to the UTP Plan

Dear Ms. Countryman:

On behalf of the Participants (the “Participants”) of the Joint Self-Regulatory Organization Plan Governing the Collection, Consolidation and Dissemination of Quotation and Transaction Information for Nasdaq-Listed Securities Traded on Exchanges on an Unlisted Trading Privileges Basis (the “UTP Plan”), I am forwarding copies of the captioned amendment to the UTP Plan. Enclosed is one counterpart of the amendment as executed by me in my capacity as the Chair of the Plan’s Operating Committee.

The amendment effectuates a change to reflect NYSE Chicago’s new name, NYSE Texas. The amendment also makes some changes to certain names of other exchanges. Pursuant to Rule 608(b)(3)(ii) under Regulation NMS, I designate the amendment as concerned solely with the administration of the UTP Plan and as a “Ministerial Amendment” under Section XVI of the UTP Plan. As a result, the amendment becomes effective upon filing and can be submitted by the Chair of the Plan’s Operating Committee.

In the following paragraphs, the Participants respond to the requirements of Rule 608(a) of Regulation NMS under the Securities Exchange Act of 1934 (the “Exchange Act”) that apply to amendments to national market system plans and those requirements of Rule 601(a) of Regulation NMS under the Exchange Act that apply to transaction reporting plans.

A. Rule 608(a)

1. Purpose of the Amendment

The above-captioned amendment effectuates a change to reflect the new name of NYSE Chicago, Inc.: NYSE Texas, Inc. The amendment also makes some changes to certain names of other exchanges.

2. Governing or Constituent Documents

Not applicable.

3. Implementation of Amendment

Because the amendment constitutes a “Ministerial Amendment” under Section XVI of the UTP Plan, the Chair of the UTP Plan’s Operating Committee may submit the amendment to the Commission on behalf of the Participants in the UTP Plan. Because the Participants designate the amendment as concerned solely with the administration of the UTP Plan, the amendment becomes effective upon filing with the Commission.

4. Development and Implementation Phases

Not applicable.

5. Analysis of Impact on Competition

The amendments do not impose any burden on competition because it simply effectuates changes in the names of a Participants to the UTP Plan.

6. Written Understanding or Agreements relating to Interpretation of, or Participation in, Plan

Not applicable.

7. Approval by Sponsors in Accordance with Plan

See Item 3 above.

8. Description of Operation of Facility Contemplated by the Proposed Amendment

Not applicable.

9. Terms and Conditions of Access

Not applicable.

10. Method of Determination and Imposition, and Amount of, Fees and Charges

Not applicable.

11. Method and Frequency of Processor Evaluation

Not applicable.

12. Dispute Resolution

Not applicable.

B. Regulation NMS Rule 601(a)

1. Equity Securities for which Transaction Reports Shall be Required by the Plan

Not applicable.

2. Reporting Requirements

Not applicable.

3. Manner of Collecting, Processing, Sequencing, Making Available and Disseminating Last Sale Information

Not applicable.

4. Manner of Consolidation

Not applicable.

5. Standards and Methods Ensuring Promptness, Accuracy and Completeness of Transaction Reports

Not applicable.

6. Rules and Procedures Addressed to Fraudulent or Manipulative Dissemination

Not applicable.

7. Terms of Access to Transaction Reports Not applicable.

8. Identification of Marketplace of Execution

Not applicable.

Sincerely yours,

Jeff Kimsey
UTP Chair

cc: UTP Plan Participants (w/o attachments)

AMENDMENT NO. 53

JOINT SELF-REGULATORY ORGANIZATION PLAN GOVERNING THE COLLECTION, CONSOLIDATION AND DISSEMINATION OF QUOTATION AND TRANSACTION INFORMATION FOR NASDAQ-LISTED SECURITIES TRADED ON EXCHANGES ON AN UNLISTED TRADING PRIVILEGE BASIS

Participant Name Changes

AGREEMENT made as of the 30th day of April, 2025, among Cboe BYX Exchange, Inc., Cboe BZX Exchange, Inc., Cboe EDGA Exchange, Inc., Cboe EDGX Exchange, Inc., Cboe Exchange, Inc., Financial Industry Regulatory Authority, Inc., Investors' Exchange LLC, Long-Term Stock Exchange, Inc., MEMX LLC, MIAX PEARL, LLC, Nasdaq BX, Inc., Nasdaq ISE, LLC, Nasdaq PHLX, Inc., the Nasdaq Stock Market LLC, New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., NYSE Texas, Inc., and NYSE National, Inc. (collectively, the "Participants").

The Participants enter into this Agreement in order to reflect changes to the names of Participants. For this purpose, the Participants agree that:

- (a) Section I.A of the Plan, as heretofore amended and restated, is hereby further amended as follows:

A. Participants

Cboe BYX Exchange, Inc. ("BYX"), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe BZX Exchange, Inc. ("BZX"), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe EDGA Exchange, Inc. ("EDGA"), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe EDGX Exchange, Inc. ("EDGX"), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe Exchange, Inc. ("Cboe"), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Financial Industry Regulatory Authority, Inc. (“FINRA”), registered as a national securities association under the Act and having its principal place of business at 1735 K Street, N.W., Washington, D.C. 20006.

Investors’ Exchange LLC (“IEX”), registered as a national securities exchange under the Act and having its principal place of business at 3 World Trade Center, 58th Floor, New York, New York 10007.

Long-Term Stock Exchange, Inc. (“LTSE”), registered as a national securities exchange under the Act and having its principal place of business at 300 Montgomery St., Ste 790, San Francisco, CA 94104.

MEMX LLC (“MEMX”), registered as a national securities exchange under the Act and having its principal place of business at 111 Town Square Place, Suite 520, Jersey City, New Jersey 07310.

MIAX PEARL, LLC (“MIAX”), registered as a national securities exchange under the Act and having its principal place of business at 7 Roszel Road, Suite 1A, Princeton, New Jersey 08540.

Nasdaq BX, Inc. (“BSE”), registered as a national securities exchange under the Act and having its principal place of business at One Liberty Plaza, 165 Broadway, New York, New York 10006.

Nasdaq ISE, LLC (“ISE”), registered as a national securities exchange under the Act and having its principal place of business at One Liberty Plaza, 165 Broadway, New York, New York 10006.

Nasdaq PHLX LLC (“PHLX”), registered as a national securities exchange under the Act and having its principal place of business at FMC Tower, Level 8, 2929 Walnut Street, Philadelphia, Pennsylvania 19104.

The Nasdaq Stock Market LLC (“Nasdaq”), registered as a national securities exchange under the Act and having its principal place of business at One Liberty Plaza, 165 Broadway, New York, New York 10006.

New York Stock Exchange LLC (“NYSE”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE American LLC (“NYSE American”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE Arca, Inc. (“NYSE Arca”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE National, Inc. (“NYSE National”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE Texas, Inc. (“NYSE Texas”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

- (b) Section VIII.C of the Plan, as heretofore amended and restated, is hereby further amended as follows:

C. Symbols for Market Identification for Quotation Information and Transaction Reports

The following symbols shall be used to denote the marketplaces:

CODE	PARTICIPANT
A	NYSE American LLC
Z	Cboe BZX Exchange, Inc.
Y	Cboe BYX Exchange, Inc.
B	Nasdaq BX, Inc.
W	Cboe Exchange, Inc.
M	NYSE Texas, Inc.
J	Cboe EDGA Exchange, Inc.
K	Cboe EDGX Exchange, Inc.
I	Nasdaq ISE, LLC
V	Investors’ Exchange LLC
D	Financial Industry Regulatory Authority, Inc.
Q	The Nasdaq Stock Market LLC
C	NYSE National, Inc.
N	New York Stock Exchange LLC
P	NYSE Arca, Inc.
X	Nasdaq PHLX LLC
L	Long-Term Stock Exchange, Inc.
U	MEMX LLC
H	MIAX PEARL, LLC

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Addendum 1 to this Agreement contains an additional version of Sections I.A, and VIII.C, marked to show the proposed changes set forth in this Agreement. In Addendum 1, proposed additions are underlined and proposed deletions are [~~struck through and bracketed~~].

Under Rule 608(b)(3)(ii) of Regulation NMS, the amendment is concerned solely with the administration of the Plan. Also, the amendment is a “Ministerial Amendment” under Section XVI of the Plan. As a result, the amendment becomes effective upon filing with the Commission and can be submitted by the Chair of the Plan’s Operating Committee.

Except for the changes contained in this amendment, the Plan is unchanged and remains in full force and effect.

IN WITNESS WHEREOF, the Chair of the Plan’s Operating Committee, has executed this amendment as of the date first above written.

Jeff Kimsey
Chair
UTP Plan Operating Committee

ADDENDUM 1

To the Fifty-Third Amendment to the Plan

PROPOSED AMENDMENTS TO THE UTP PLAN

MARKED TO SHOW CHANGES FROM THE EXISTING PLAN
(Additions are underlined; Deletions are [~~struck through and bracketed~~].)

Section I. Participants.

The Participants include the following:

A. Participants

Cboe BYX Exchange, Inc. (“BYX”), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe BZX Exchange, Inc. (“BZX”), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe EDGA Exchange, Inc. (“EDGA”), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe EDGX Exchange, Inc. (“EDGX”), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Cboe Exchange, Inc. (“Cboe”), registered as a national securities exchange under the Act and having its principal place of business at 400 South LaSalle Street, Chicago, Illinois 60605.

Financial Industry Regulatory Authority, Inc. (“FINRA”), registered as a national securities association under the Act and having its principal place of business at 1735 K Street, N.W., Washington, D.C. 20006.

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New York Stock Exchange LLC (“NYSE”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE American LLC (“~~AMEX~~NYSE American”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE Arca, Inc. (“NYSE Arca”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

NYSE National, Inc. (“~~NSX~~NYSE National”), registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005 [~~101 Hudson, Suite 1200, Jersey City, NJ 07302~~].

NYSE Texas, Inc. (“NYSE Texas”) [~~NYSE Chicago, Inc. (“NYSE Chicago”)~~], registered as a national securities exchange under the Act and having its principal place of business at 11 Wall Street, New York, New York 10005.

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Section VIII Transmission of Information to Processor by Participants

A. and B. – No change.

C. Symbols for Market Identification for Quotation Information and Transaction Reports

The following symbols shall be used to denote the marketplaces:

CODE	PARTICIPANT
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Z	Cboe BZX Exchange, Inc.
Y	Cboe BYX Exchange, Inc.
B	Nasdaq BX, Inc.
W	Cboe Exchange, Inc.
M	<u>NYSE Texas, Inc.</u> [NYSE Chicago, Inc.]
J	Cboe EDGA Exchange, Inc.
K	Cboe EDGX Exchange, Inc.
I	Nasdaq ISE, LLC
V	Investors’ Exchange LLC
D	Financial Industry Regulatory Authority, Inc.
Q	The Nasdaq Stock Market LLC
C	NYSE National, Inc.
N	New York Stock Exchange LLC
P	NYSE Arca, Inc.
X	Nasdaq PHLX LLC
L	Long-Term Stock Exchange, Inc.
U	MEMX LLC
H	MIAX PEARL, LLC